

**法國興業銀行台北分行
防制洗錢及打擊資恐內部控制制度聲明書**

Société Générale Taipei Branch

**Statement of Internal Control for Anti-Money Laundering and
Combating the Financing of Terrorism**

謹代表法國興業銀行台北分行聲明本銀行於 110 年 1 月 1 日至 110 年 12 月 31 日確實遵循防制洗錢及打擊資恐相關法令，建立內部控制制度，實施風險管理，並由獨立之稽核部門執行查核，定期陳報總行或亞洲區域總部主管。經審慎評估，本年度各單位防制洗錢及打擊資恐內部控制及法規遵循情形，除後附「防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫」所列事項外，均能確實有效執行。

On behalf of Societe Generale Taipei Branch (the “Bank”), we hereby certify that from 1 January 2021 to 31 December 2021, the Bank has duly complied with the relevant regulations for Anti-Money Laundering and Combating the Financing of Terrorism (AML/CFT) in establishing the internal control system and implementing risk management procedures. The Bank has been audited by independent auditors who submit reports to Societe Generale Head Office or Regional Office. After prudent evaluation, except for the items listed in the attached schedule, each department of the Bank has implemented effective internal control and compliance system for AML/CFT during the year to which this statement relates.

此 致

金融監督管理委員會

The Statement is submitted to the Financial Supervisory Commission

聲明人

Statement by

法國興業銀行臺北分行

Societe Generale, SG Taipei Branch

負責人：

Group Country Manager

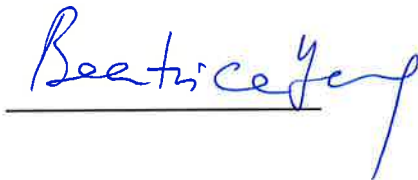
劉光卿 Mark Liu



總稽核：

Auditor in charge of auditing on Taipei Branch

楊斐茹 Beatrice Yang



代理防制洗錢及打擊資恐專責主管：
Anti-Money Laundering Officer (AMLO) Delegate
Sebastien Wermelinger



中 華 民 國 1 1 1 年 3 月 3 0 日

Date: 30 March 2022

法國興業銀行台北分行

防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫

Societe Generale Taipei Branch

Enhancement Items and Improvement Plans under Internal Control System for
Anti-Money Laundering and Combating the Financing of Terrorism

(基準日：110 年 12 月 31 日)

(As of 31 December, 2021)

應 加 強 事 項 Enhancement Items	改 善 措 施 Improvement Measures	預 定 完 成 改 善 時 間 Target Date
<p>擔任業務單位洗錢防制督導主管應為資深管理人員。The person in charge of supervising AML/CFT related matters of the business unit ought to be a senior manager.</p>	<p>自 110 年 8 月起，改派由資深管理人員督導有關部門之防制洗錢及打擊資恐相關事宜。The Bank appointed a senior manager to supervise the AML/CFT related matters of the concerned business unit in August 2021.</p>	<p>已完成。 Completed.</p>