

法國興業銀行台北分行  
防制洗錢及打擊資恐內部控制制度聲明書

**Societe Generale Taipei Branch**

**Statement of Internal Control for Anti-Money Laundering and  
Combating the Financing of Terrorism**

謹代表法國興業銀行台北分行聲明本銀行於 108 年 1 月 1 日至 108 年 12 月 31 日確實遵循防制洗錢及打擊資恐相關法令，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報總行或亞洲區域總部主管。經審慎評估，本年度各單位防制洗錢及打擊資恐內部控制及法規遵循情形，除後附「防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫」所列事項外，均能確實有效執行。

On behalf of Societe Generale Taipei Branch (the “Bank”), we hereby certify that from 1 January 2019 to 31 December 2019, the Bank has duly complied with the relevant regulations for Anti-Money Laundering and Combating the Financing of Terrorism (AML/CFT) in establishing the internal control system and implementing risk management procedures. The Bank has been audited by independent auditors who submit reports to Societe Generale Head Office or Regional Office. After prudent evaluation, except for the items listed in the attached schedule, each department of the Bank has implemented effective internal control and compliance systems for AML/CFT during the year to which this statement relates.

此 致

金融監督管理委員會

The Statement is submitted to the Financial Supervisory Commission

聲明人

Statement by

法國興業銀行臺北分行

Societe Generale, SG Taipei Branch

負責人：

Group Country Manager

劉光卿 Mark Liu



總稽核：

Auditor in charge of auditing on Taipei Branch

蘇健文 Kathy Su



防制洗錢及打擊資恐專責主管：

Anti-Money Laundering Officer (AMLO) in Taiwan

王志君 Gisele Wang



中 華 民 國 109 年 3 月 27 日

法國興業銀行台北分行

防制洗錢及打擊資恐內部控制制度應加強事項及改善計畫

Societe Generale Taipei Branch

Enhancement Items and Improvement Plans under Internal Control System for  
Anti-Money Laundering and Combating the Financing of Terrorism

(基準日：108年12月31日)

(As of 31 December 2019)

應加強事項 Enhancement Items	改善措施 Improvement Measures	預定完成改善時間 Target Date
本年度應加強事項或改善措施業已執行完畢。 The enhancement items or improvement measures for 2019 were duly completed.		